

Evaluating the Role of Top-down vs. Bottom-up Ecosystem Regulation from a Modeling Perspective

Bernard A. Megrey and Francisco E. Werner



Background

- Relative roles of top down vs. bottom up regulation of marine ecosystems is a common topic of scientific discussion but the resolution of the question remains elusive.
- Field-based studies to establish the importance of these two processes are a difficult undertaking given the complexity of even the smallest marine ecosystem.
- More difficult in continental shelf and open ocean domains where the ecosystems are not generally isolated.
- Idea of this presentation is to examine the available modeling tools that describe these types of controls.

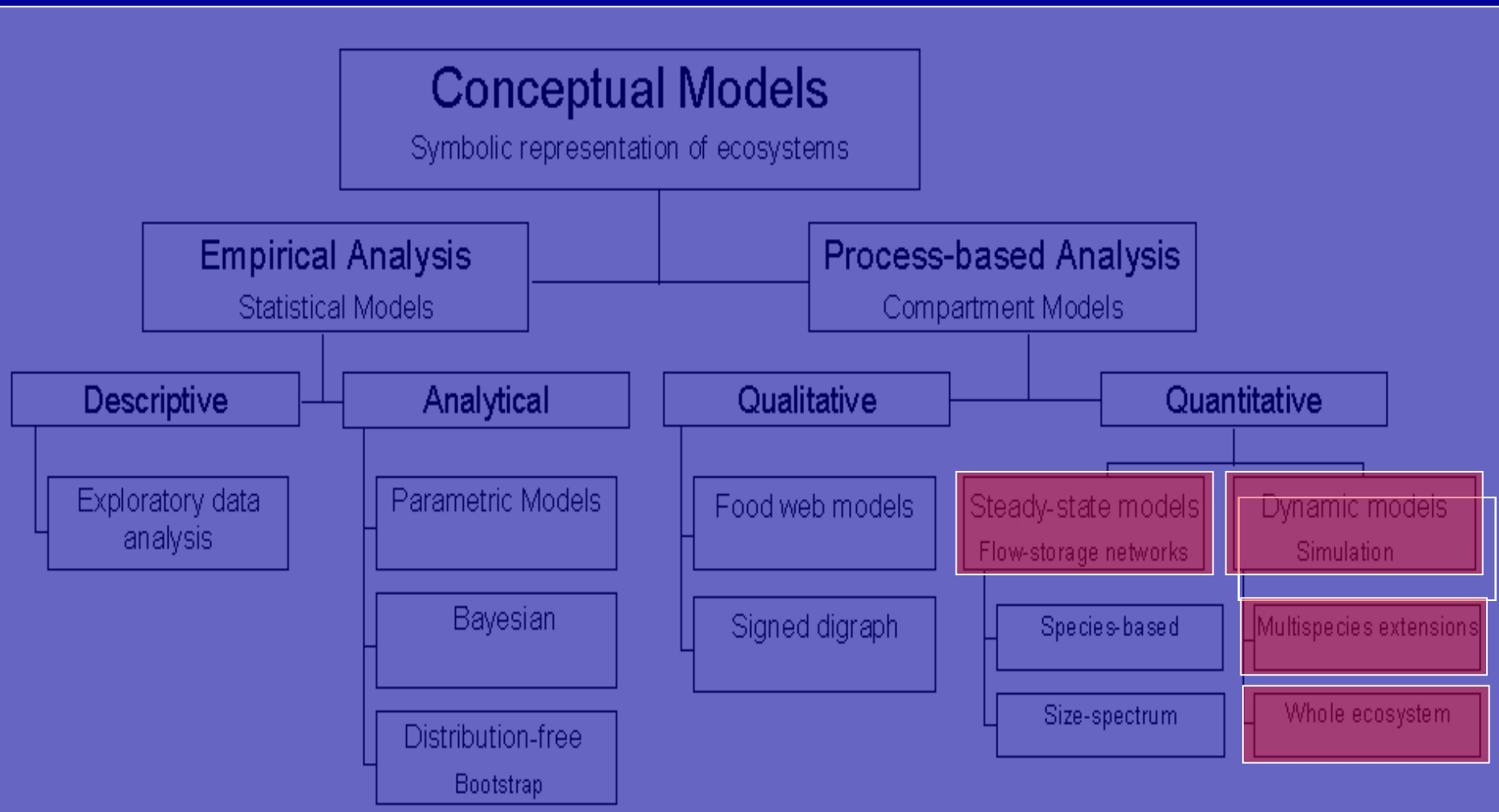
Objective

- Evaluate present-day modeling capabilities which could help identify or describe if a marine ecosystem is controlled top-down or bottom-up.
- Consider modeling approaches based on the detail in which they describe the dynamics of marine ecosystems.

Overview

- Model taxonomy
- Definitions
- Modeling Approaches
 - Advantages/disadvantages
 - Ways to parameterize TD-BU control
- Conclusions/Summary

Model Taxonomy



Operational Definitions

Bottom Up

- if the change in the biomass of a group or functional group is dominated by production, then the group likely to be bottom-up controlled.
 - Agent: resource availability/limitation (i.e. physical and chemical factors such as temperature and nutrients)

Top Down

- if the change in the biomass of a group or functional group is dominated by removals, then the group is likely to be top-down controlled.
 - Agent: Competition and predation by higher trophic levels on lower levels.

Ecopath

$$B_i \left(\frac{P}{B} \right)_i EE_i = BA_i + E_i + Y_i + \sum_{j=1}^n B_j \left(\frac{Q}{B} \right)_j DC_{ji}$$

Static, balanced mass balance equation

B – biomass

P/B^* – production to biomass ratio

Q/B^* – consumption to biomass ratio

DC^* – fraction of prey in diet

EE^* – ecotrophic efficiency

BA – biomass accumulation rate

Y – fisheries catch

E – net migration rate (emigration-immigration)

Know any three
and estimate the
unknown

Ecopath Pros/Cons

Advantages

- has to balance – provides information on data gaps
- conceptually simple
- easy-to-use software facilitates use and exploration

Disadvantages

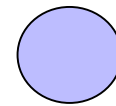
- assumes ecosystem networks are static
- assumes steady state
- very limited ability to accommodate detailed mechanisms
- difficult or impossible to estimate many parameters from field or lab data

Production Models

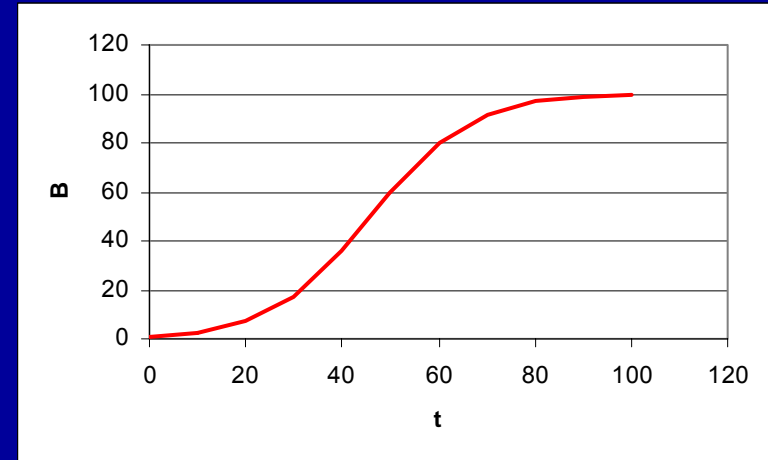
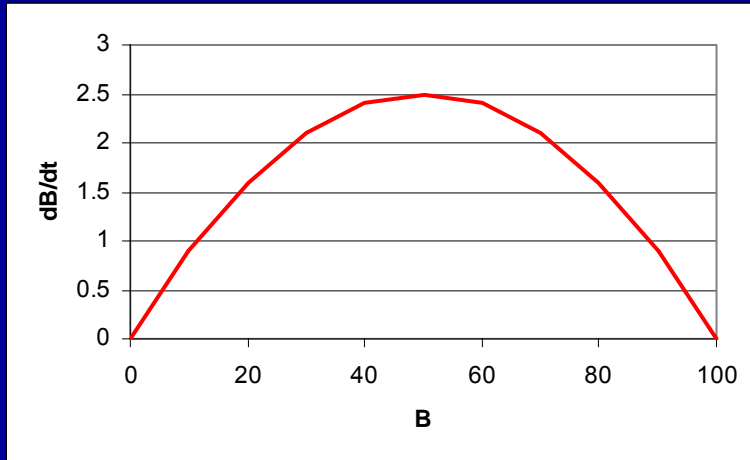
$$\frac{dB}{dt} = rB \left(1 - \frac{B}{K} \right)$$

Schaefer Production Model

Logistic Model

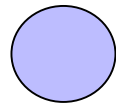


Bottom Up Parameters

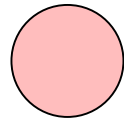


Production Model with Yield

$$\frac{dB}{dt} = rB \left(1 - \frac{B}{K} \right) - C$$



Bottom Up Parameters

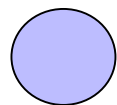


Top Down Parameters

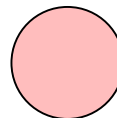
Production Model with Multispecies Interactions and Yield

$$\frac{dB_1}{dt} = rB_1 \left(1 - \frac{B_1}{K} \right) \pm cB_2 \pm dB_3 - C_1$$

- If B_1 is a prey and B_2 is a predator then c will be negative
- B_1 is a predator and B_2 is the prey then c will be positive
- If B_3 is a competitor of B_1 then d will be negative

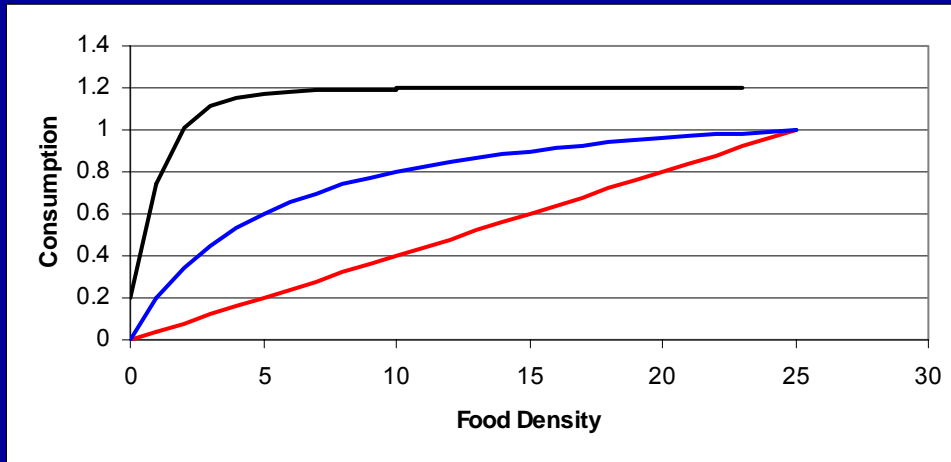


Bottom Up Parameters



Top Down Parameters

Predator Functional Response



Holling I

$$f(B) = \alpha B$$

Holling II

$$f(B) = \frac{\alpha B}{\beta + B}$$

Holling III

$$f(B) = \frac{\alpha B^\gamma}{\beta + B^\gamma}$$

Ecological Features

- Search time
- Probability of capture
- Suitability of each prey item to predator
- Time lags to constrain predation to specific life stages

Multispecies Interactions

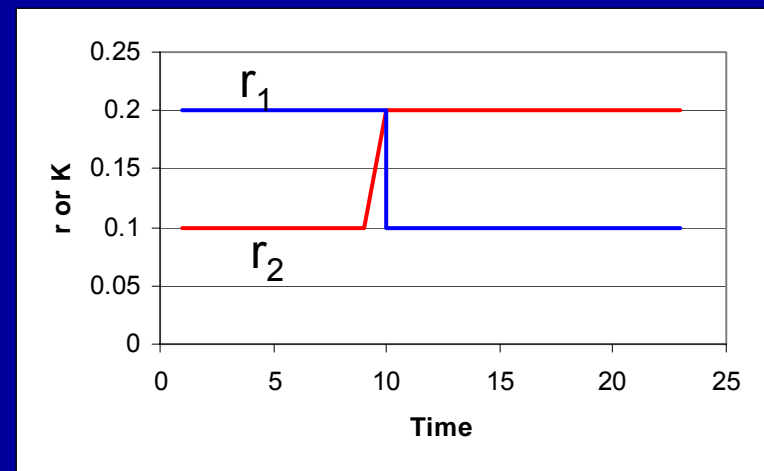
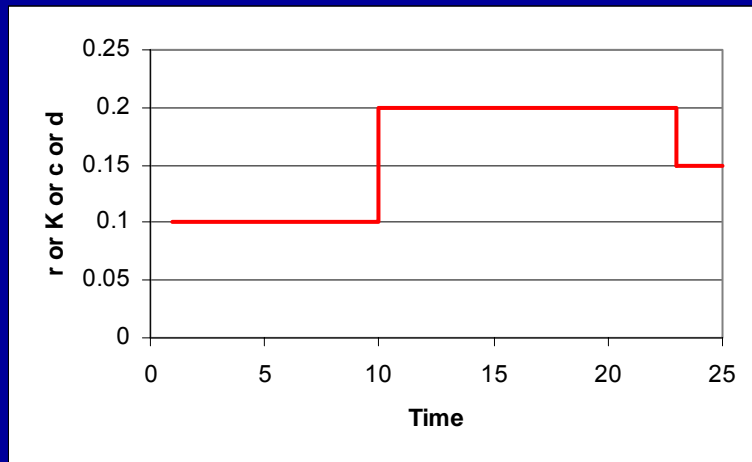
Interaction	<i>Species i</i>	<i>Species j</i>
Competition	-	-
Predator-Prey	+	-
Mutualism	+	+
Commensalism	+	0

-: Negative effect, +: positive effect, 0: no effect

Production Model with Mutispecies Interactions, Yield, and Environmental Effects

$$\frac{dB_1}{dt} = rB_1 \left(1 - \frac{B_1}{K} \right) \pm cB_2 \pm dB_3 - C_1$$

Time-dependent parameter values



Control of a Marine Food Web: An Example

$$\frac{dP}{dt} = r_p P \left(1 - \frac{P}{K_p}\right) - g_z Z \frac{P}{P+h_a}$$

DD production zoop grazing

$$\frac{dZ}{dt} = r_z Z \left(1 - \frac{Z}{K_z}\right) + e_z g_z Z \frac{P}{P+h_a} - g_{f1} F_1 \frac{Z}{Z+h_z} - g_{f2} F_2 \frac{Z}{Z+h_z} - m_z Z$$

DD production zoop consumption F₁ grazing F₂ grazing mortality

$$\frac{dF_1}{dt} = r_{f1} F_1 \left(1 - \frac{F_1}{K_{f1}}\right) + e_{f1} g_{f1} F_1 \frac{Z}{Z+h_z} - g_{f2} F_2 \frac{F_1}{F_1+h_{f1}} - E_{f1} F_1$$

DD production zoop consumption F₂ grazing Fishery Yield fishery removals

$$\frac{dF_2}{dt} = r_{f2} F_2 \left(1 - \frac{F_2}{K_{f2}}\right) + e_{f2} g_{f2} F_2 \frac{Z}{Z+h_z} + e_{f2} g_{f2} F_2 \frac{F_1}{F_1+h_{f1}} - m_{f2} F_2 - q_{f2} E_{f2} F_2$$

DD production zoop consumption F₁ consumption mortality 2 fishery removals

$$\frac{dY}{dt} = q_{f1} E_{f1} F_1 + q_{f2} E_{f2} F_2$$

Bottom-Up Control of a Marine Food Web

$$\frac{dP}{dt} = r_p P \left(1 - \frac{P}{K_p}\right) - g_z Z \frac{P}{P + h_a}$$

DD production zoopl grazing

$$\frac{dZ}{dt} = r_z Z \left(1 - \frac{Z}{K_z}\right) + e_z g_z Z \frac{P}{P + h_a} - g_{f1} F_1 \frac{Z}{Z + h_z} - g_{f2} F_2 \frac{Z}{Z + h_z} - m_z Z$$

DD production zoopl consumption F₁ grazing F₂ grazing mortality

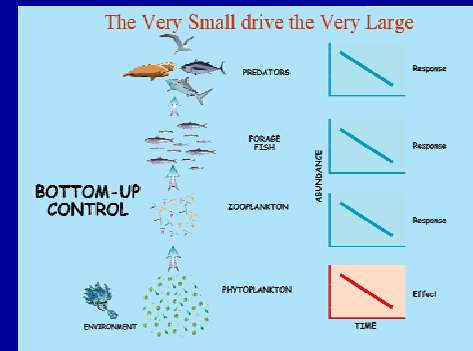
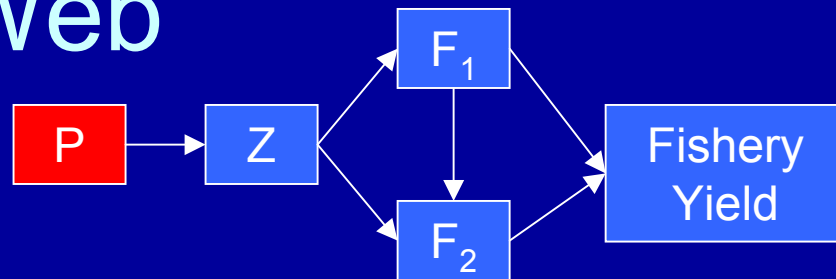
$$\frac{dF_1}{dt} = r_{f1} F_1 \left(1 - \frac{F_1}{K_{f1}}\right) + e_{f1} g_{f1} F_1 \frac{Z}{Z + h_z} - g_{f2} F_2 \frac{F_1}{F_1 + h_{f1}} - m_{f1} F_1 - q_{f1} E_{f1} F_1$$

DD production zoopl consumption F₂ grazing mortality fishery removals

$$\frac{dF_2}{dt} = r_{f2} F_2 \left(1 - \frac{F_2}{K_{f2}}\right) + e_{f2} g_{f2} F_2 \frac{Z}{Z + h_z} + e_{f2} g_{f2} F_2 \frac{F_1}{F_1 + h_{f1}} - m_{f2} F_2 - q_{f2} E_{f2} F_2$$

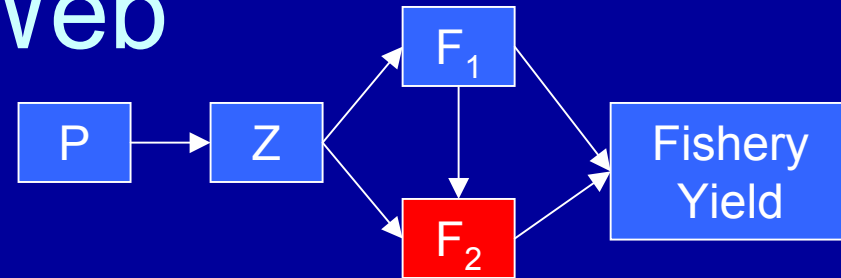
DD production zoopl consumption F₁ consumption mortality 2 fishery removals

$$\frac{dY}{dt} = q_{f1} E_{f1} F_1 + q_{f2} E_{f2} F_2$$



Cury et al. 2001

Top-Down Control of a Marine Food Web



$$\frac{dP}{dt} = r_p P \left(1 - \frac{P}{K_p}\right) - g_z Z \frac{P}{P + h_a}$$

DD production
zoop grazing

$$\frac{dZ}{dt} = r_z Z \left(1 - \frac{Z}{K_z}\right) + e_z g_z Z \frac{P}{P + h_a} - g_{f1} F_1 \frac{Z}{Z + h_z} - g_{f2} F_2 \frac{Z}{Z + h_z} - m_z Z$$

DD production
zoop consumption
F₁ grazing
F₂ grazing
mortality

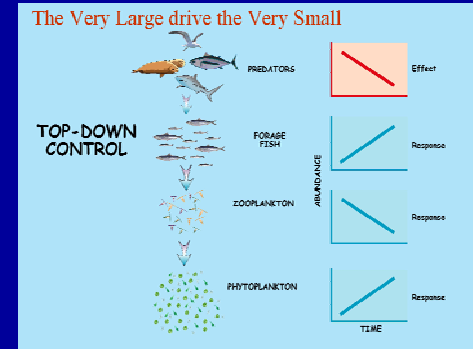
$$\frac{dF_1}{dt} = r_{f1} F_1 \left(1 - \frac{F_1}{K_{f1}}\right) + e_{f1} g_{f1} F_1 \frac{Z}{Z + h_z} - g_{f2} F_2 \frac{F_1}{F_1 + h_{f1}} - m_{f1} F_1 - q_{f1} E_{f1} F_1$$

DD production
zoop consumption
F₂ grazing
mortality
fishery removals

$$\frac{dF_2}{dt} = r_{f2} F_2 \left(1 - \frac{F_2}{K_{f2}}\right) + e_{f2} g_{f2} F_2 \frac{Z}{Z + h_z} + e_{f2} g_{f2} F_2 \frac{F_1}{F_1 + h_{f1}} - m_{f2} F_2 - q_{f2} E_{f2} F_2$$

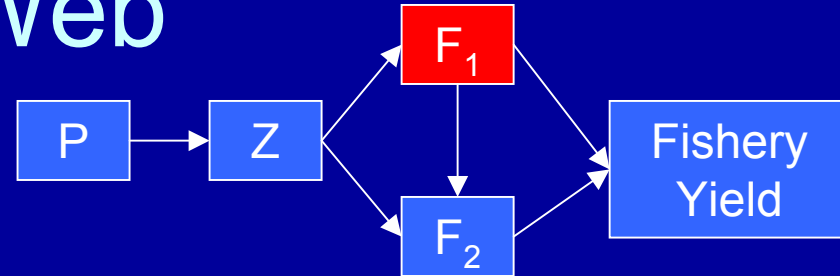
DD production
zoop consumption
F₁ consumption
mortality 2
fishery removals

$$\frac{dY}{dt} = q_{f1} E_{f1} F_1 + q_{f2} E_{f2} F_2$$



Cury et al. 2001

Wasp-Waist Control of a Marine Food Web



$$\frac{dP}{dt} = r_p P \left(1 - \frac{P}{K_p}\right) - g_z Z \frac{P}{P+h_a}$$

DD production
zoop grazing

$$\frac{dZ}{dt} = r_z Z \left(1 - \frac{Z}{K_z}\right) + e_z g_z Z \frac{P}{P+h_a} - g_{f1} F_1 \frac{Z}{Z+h_z} - g_{f2} F_2 \frac{Z}{Z+h_z} - m_z Z$$

DD production
zoop consumption
F₁ grazing
F₂ grazing
mortality

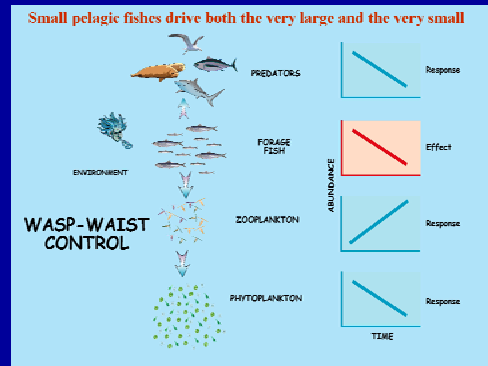
$$\frac{dF_1}{dt} = r_{f1} F_1 \left(1 - \frac{F_1}{K_{f1}}\right) + e_{f1} g_{f1} F_1 \frac{Z}{Z+h_z} - g_{f2} F_2 \frac{F_1}{F_1+h_{f1}} - m_{f1} F_1 - q_{f1} E_{f1} F_1$$

DD production
zoop consumption
F₂ grazing
mortality
fishery removals

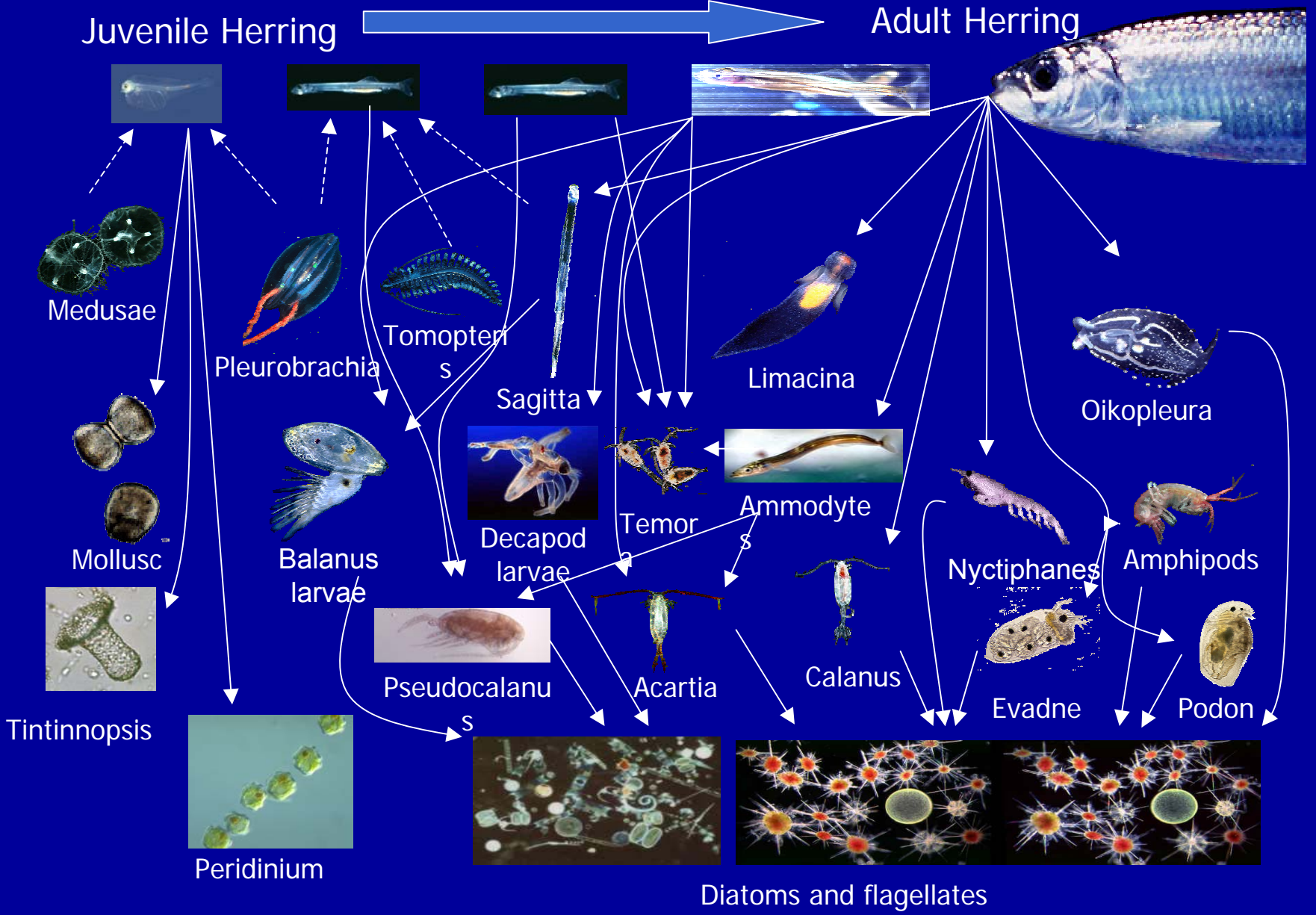
$$\frac{dF_2}{dt} = r_{f2} F_2 \left(1 - \frac{F_2}{K_{f2}}\right) + e_{f2} g_{f2} F_2 \frac{Z}{Z+h_z} + e_{f2} g_{f2} F_2 \frac{F_1}{F_1+h_{f1}} - m_{f2} F_2 - q_{f2} E_{f2} F_2$$

DD production
zoop consumption
F₁ consumption
mortality 2
fishery removals

$$\frac{dY}{dt} = q_{f1} E_{f1} F_1 + q_{f2} E_{f2} F_2$$



Hardy's (1924) web untangled into functional groups



MSP Pros/Cons

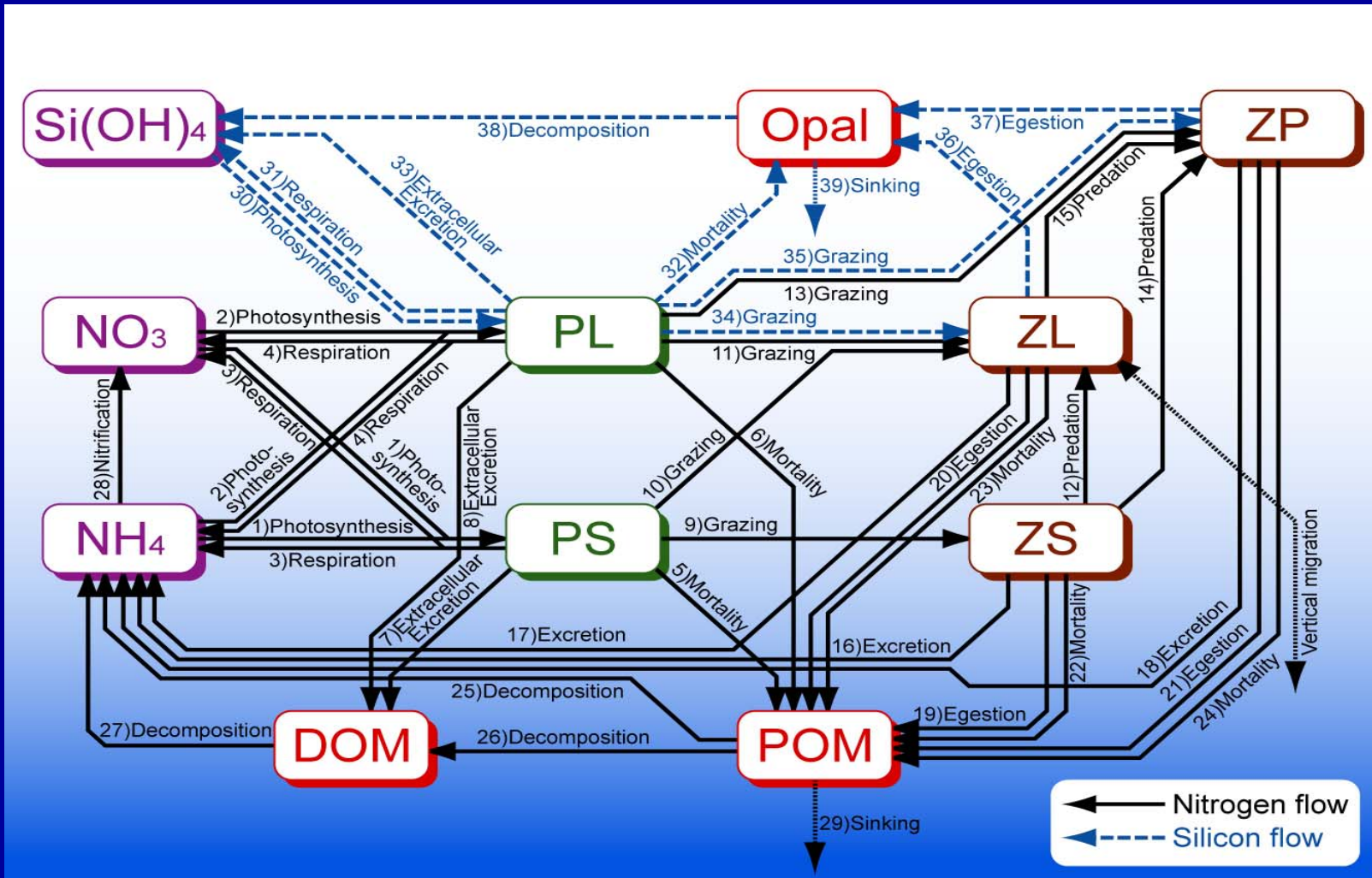
Advantages

- ability to address top-down and bottom-up control
- ecological realism - includes important species interactions

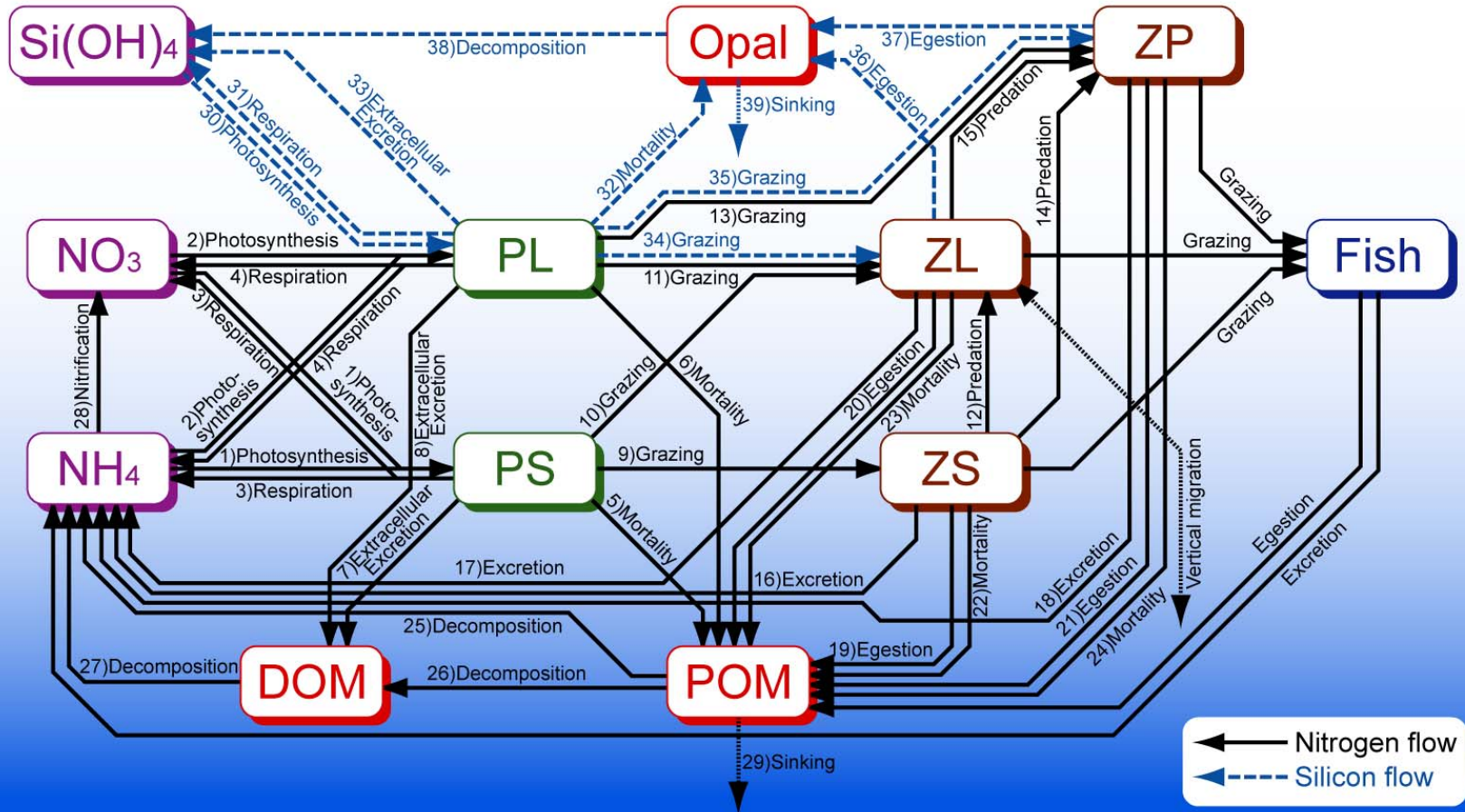
Disadvantages

- estimation of interaction parameters difficult
- number of species that can be modeled is low due to parameter needs
- uncertainty about functional relationships between species
- other competing hypotheses can explain population response
- direct lower trophic level effects missing

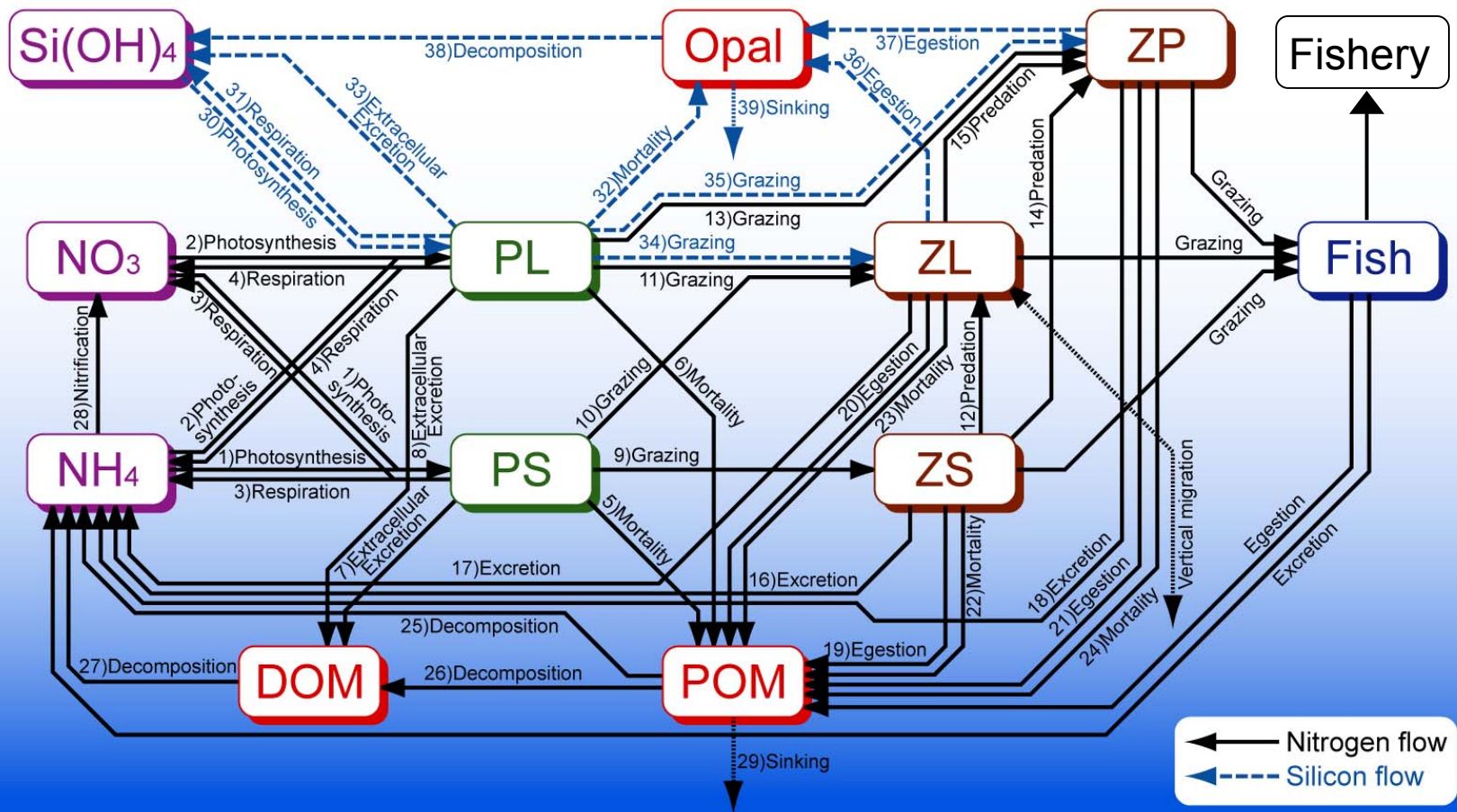
Whole Ecosystem Models- NEMURO



NEMURO.FISH



NEMURO.FISH



Mechanistic Differential Equation

$$\frac{dPS}{dt} = \text{production} - \text{respiration} - \text{mortality} - \text{excretion} - \text{grazing by ZS} - \text{grazing by ZL}$$

$$\text{production} = V_{\max S} \cdot \left(\frac{NO_3}{NO_3 + K_{NO_3 S}} \cdot \exp(-\phi_S * NH_4) + \frac{NH_4}{NH_4 + K_{NH_4 S}} \right)$$

nitrogen dependence

$$\cdot \exp(k_{SPPS} * T) \cdot \int_{-H}^0 \frac{I}{I_{optS}} \cdot \exp\left(1 - \frac{I}{I_{optS}}\right) dz \cdot PS$$

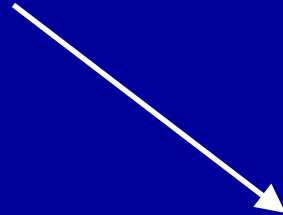
temperature dependence light dependence

$$I = I_0 \cdot \exp(-\kappa |Z|)$$

$$\kappa = \alpha_1 + \alpha_2(PS + PL)$$

Mechanistic Differential Equation

$$\frac{dPS}{dt} = \text{production} - \text{respiration} - \text{mortality} - \text{excretion} - \text{grazing by ZS} - \text{grazing by ZL}$$



$$\text{respiration} = R_{123} \cdot s_{PS0} \cdot \exp\left(\frac{k_{RP4} \cdot T}{1 + 4 \cdot 2^{RP4} \cdot 3}\right) \cdot PS$$

mortality
temperature dependence

Mechanistic Differential Equation

$$\frac{dPS}{dt} = \text{production} - \text{respiration} - \text{mortality} - \text{excretion} - \text{grazing by ZS} - \text{grazing by ZL}$$



$$\text{mortality} = M_{PS0} \cdot \exp\left(\frac{k_{MS} T}{4243}\right) \cdot [PS]^2$$

mortality temperature dependence

Mechanistic Differential Equation

$$\frac{dPS}{dt} = \textit{production} - \textit{respiration} - \textit{mortality} - \textit{excretion} - \textit{grazing by ZS} - \textit{grazing by ZL}$$



$$\textit{excretion} = \gamma_{PS} \cdot \textit{production}$$

Mechanistic Differential Equation

$$\frac{dPS}{dt} = \text{production} - \text{respiration} - \text{mortality} - \text{excretion} - \text{grazing by ZS} - \text{grazing by ZL}$$

$$\text{grazing by ZS} = \text{Max} \left[0, \text{GR max } ps \cdot \underset{\text{temperature dependence}}{\exp(k_{\text{gra}} \cdot T)} \cdot \underset{\text{level prey preference}}{\left\{ 1 - \exp(\lambda_{44} \cdot (PS - ZS^* - PS)) \right\}} \cdot ZS \right]$$

$$\text{grazing by ZL} = \text{Max} \left[0, \text{GR max } ps \cdot \underset{\text{temperature dependence}}{\exp(k_{\text{gra}} \cdot T)} \cdot \underset{\text{level prey preference}}{\left\{ 1 - \exp(\lambda_{44} \cdot (PS - ZL^* - PS)) \right\}} \cdot ZL \right]$$

Mechanistic Differential Equation

$$\frac{dPS}{dt} = \text{production} - \text{respiration} - \text{mortality} - \text{excretion} - \text{grazing by ZS} - \text{grazing by ZL}$$

$$\text{production} = V_{\max S} \cdot \left(\frac{NO_3}{NO_3 + K_{NO_3 S}} \exp(-\phi_S * NH_4) + \frac{NH_4}{NH_4 + K_{NH_4 S}} \right) \cdot \exp(k_{SPPS} \cdot T) \cdot \int_{-H}^0 \frac{I}{I_{0PS}} \exp\left(1 - \frac{I}{I_{0PS}}\right) dz \cdot \text{PhySn}$$

nitrogen dependence

temperature dependence

light dependence

$$I = I_0 \exp(-\kappa |Z|)$$

$$\kappa = \alpha_1 + \alpha_2 (\text{PhySn} + \text{PhyLn})$$

$$\text{respiration} = R_{PS0} \cdot \exp(k_{RPS} \cdot T) \cdot PS$$

mortality temperature dependence

$$\text{excretion} = \gamma_{PS} \cdot \text{production}$$

$$\text{mortality} = M_{PS0} \cdot \exp(k_{MPS} \cdot T) \cdot [PS]^2$$

mortality temperature dependence

$$\text{grazing by ZS} = \text{Max} \left[0, GR_{\max PS} \cdot \exp(k_{\text{Gra}} \cdot T) \cdot \left\{ 1 - \exp(\lambda_{\text{ZS}} \cdot (PS - ZS^*)) \right\} \cdot ZS \right]$$

temperature dependence Ivlev prey preference

$$\text{grazing by ZL} = \text{Max} \left[0, GR_{\max PS} \cdot \exp(k_{\text{Gra}} \cdot T) \cdot \left\{ 1 - \exp(\lambda_{\text{ZL}} \cdot (PS - ZL^*)) \right\} \cdot ZL \right]$$

temperature dependence Ivlev prey preference

6 detailed process submodels and 20 parameters

Whole Ecosystem Pros/Cons

Advantages

- ability to address top-down and bottom-up control through detailed process description
- ecological realism - includes important species interactions and biological processes
- parameters can be estimated with laboratory experiments

Disadvantages

- large amounts of data are required for parameterization (NEMURO.FISH – 191 parameters)
- somewhat restricted to well studied species and functional groups
- number of species that can be modeled is low due to parameter needs
- uncertainty about functional relationships between species
- other competing hypotheses can explain population response

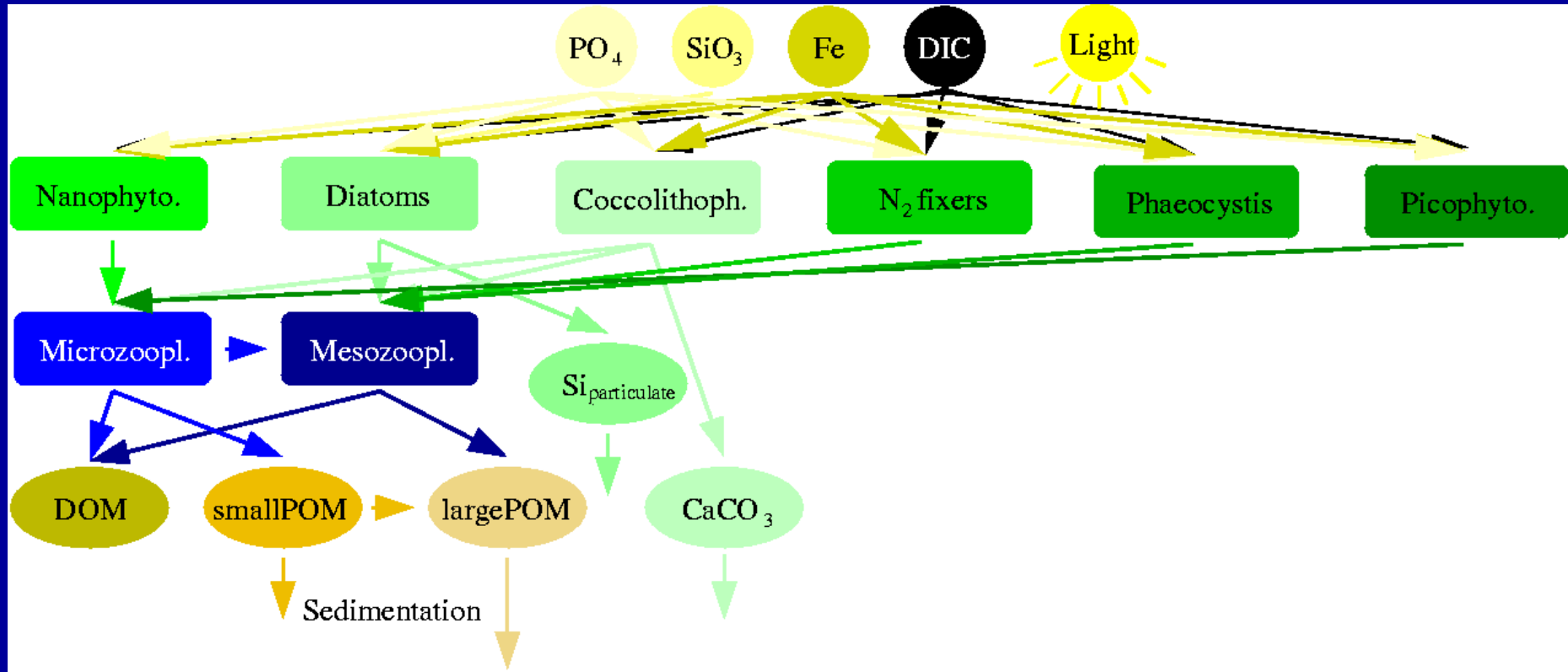
Qualitative Characteristics

	Ecopath	Multispecies Production	Whole Ecosystem Model
Age/Size Structure	Yes	No	Yes
Biomass Predictions	Yes	Yes	Yes
Data Requirements	High	Intermediate	High
Mass/Energy Balance	Yes	No	Yes
Number of Species	High	Low	Intermediate
Spatial Resolution	Possible	No	Possible
Taxonomic Resolution	Species or groups	Species or groups	Species
Temporal Resolution	Annual	Annual	Daily
Ecological Realism	Low	Intermediate	High
Estimate Parameters?	No	Intermediate	Yes
TD-BU Control Emergent Behavior?	No	Possible	Possible

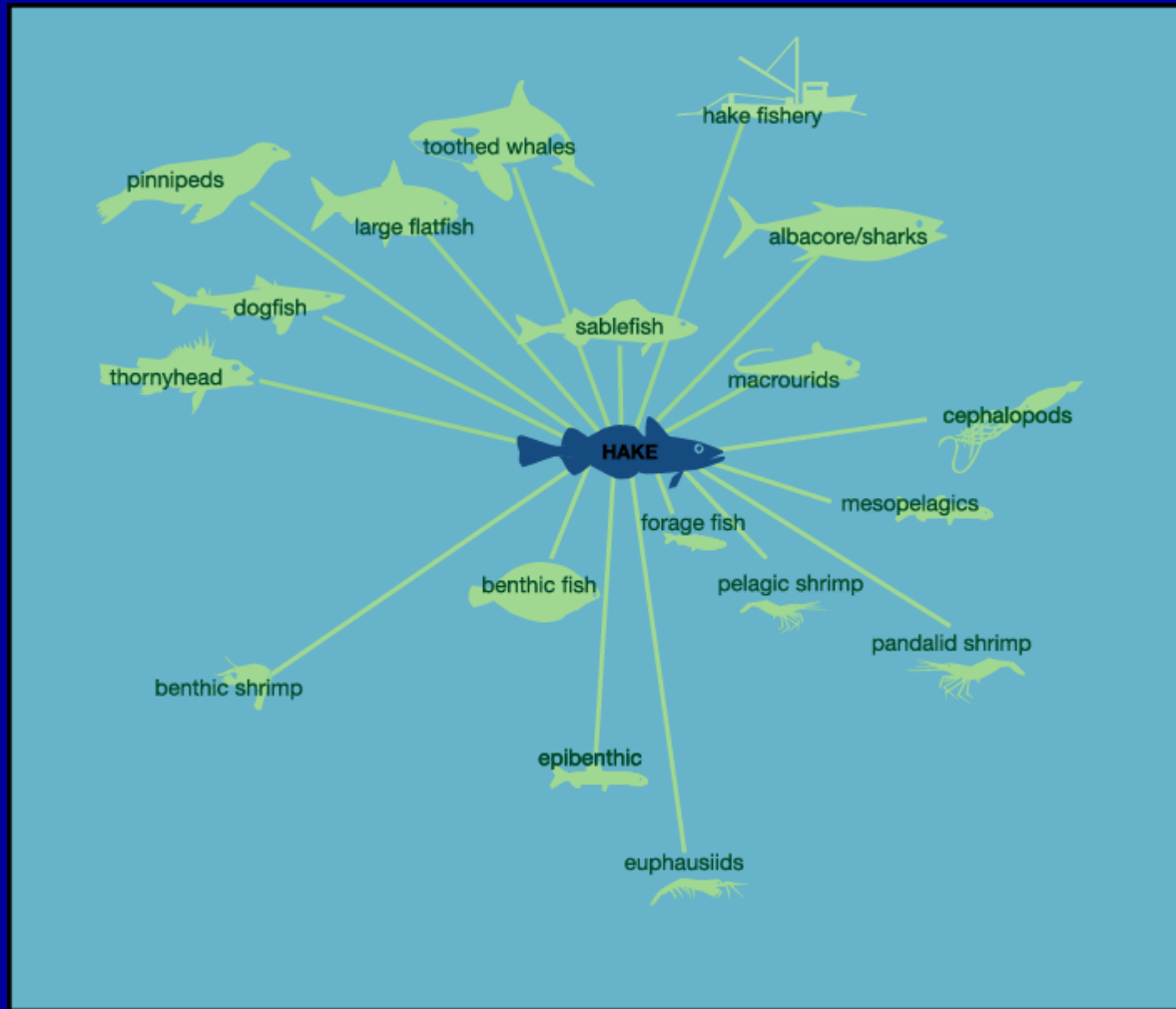
How does TD-BU Control Manifest Itself Through Modeling?

- Prescribed control
- Emergent behavior

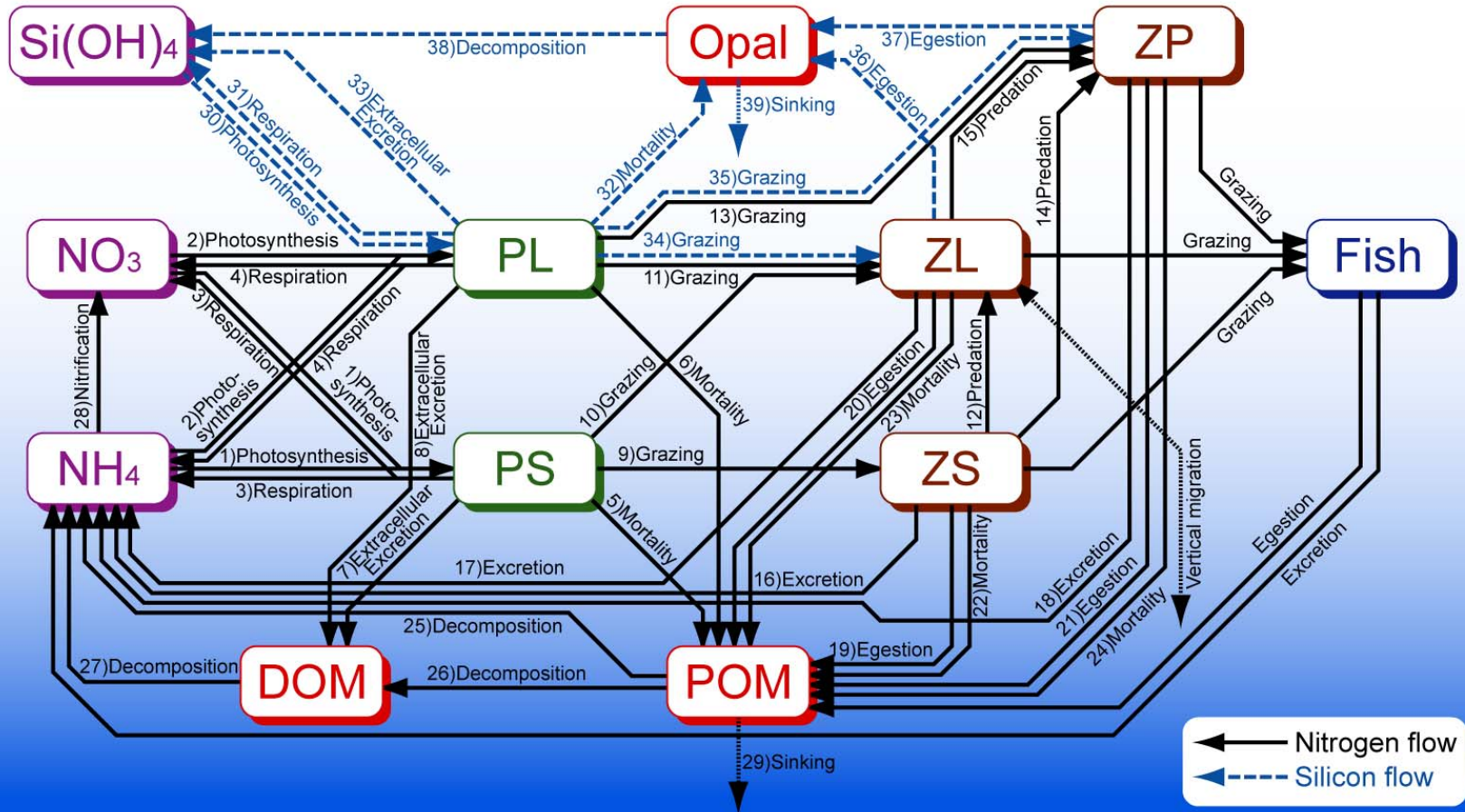
GREEN OCEAN MODEL



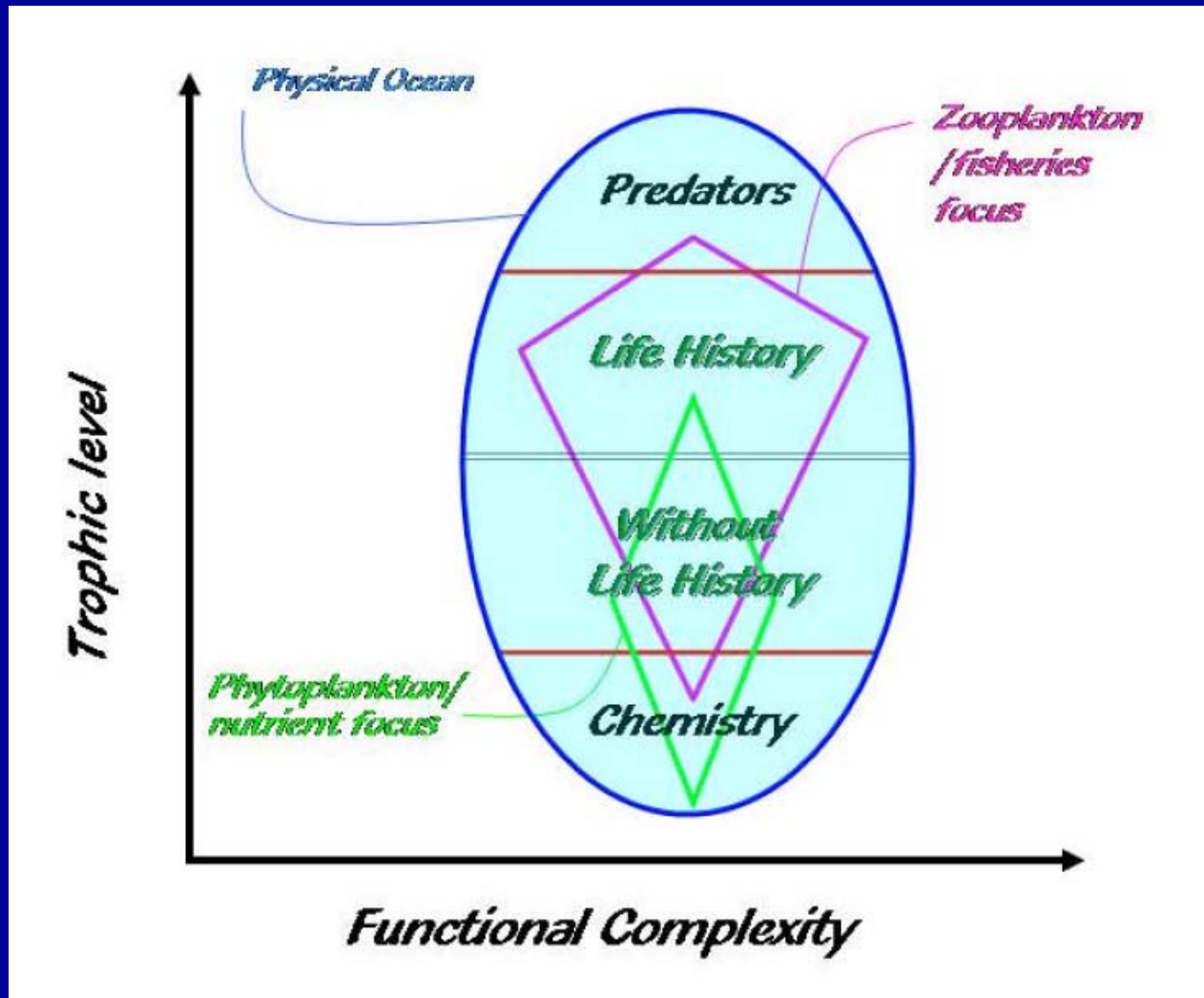
HAKE FOOD WEB



NEMURO.FISH



Harlow Rhomboid



Can We Forecasting Future Ecosystem States with Modeling?

“The ability to predict ecosystem behavior is limited”

Cury et al. 2001. Reykavik Conference on Responsible Fisheries in the Marine Ecosystem

- *No General Theory can be ascribed to the functioning of marine ecosystems*
- *Data on non-commercial ecosystem components are missing or limited or both – opportunity for observations is lacking*

Limitations to Prediction

- Stochasticity
 - Environment
 - Nonlinear species relationships
 - Ecosystem structure
- Various factors important during different life stages on multiple trophic levels

Temporal Issues

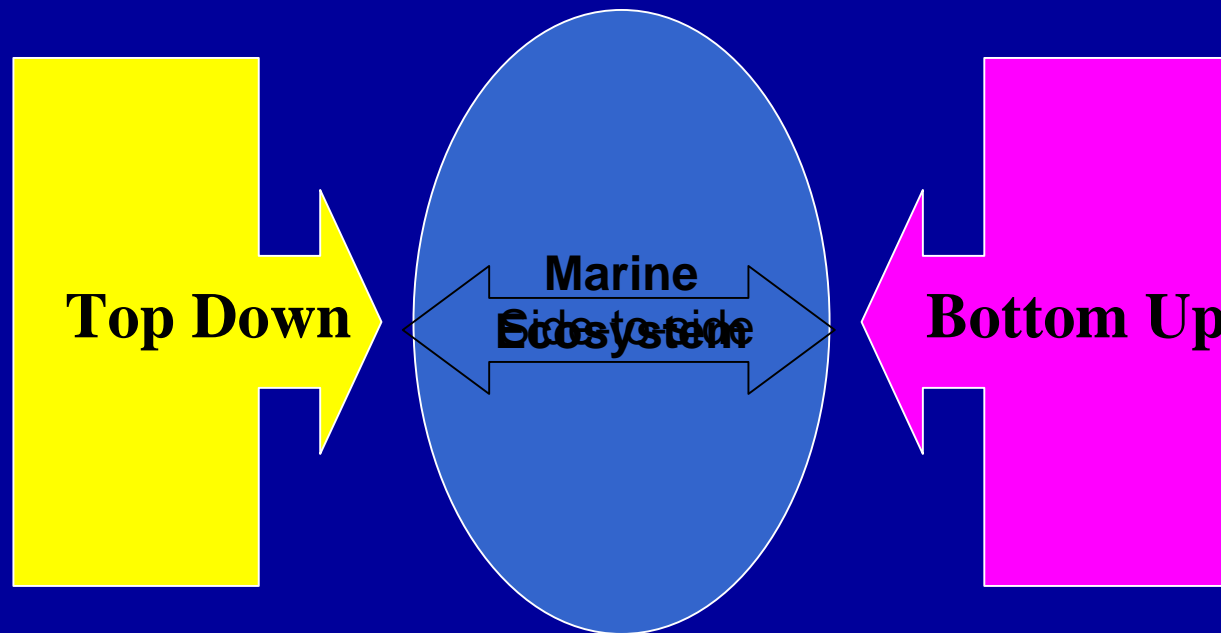
- Ecosystem structure, species composition and functioning change on several different time scales (i.e. season, annual, decade)
- Changes take can appear as quasi-cyclic, at multiple frequencies, or as sudden shifts between alternative “stable” states
- Temporal fluctuations result in changes in distribution, abundance, and physiology of marine organisms associated with changes in characteristics of the ecosystems in which they live.

Climate Issues

Climate may affect ecological processes in a variety of ways....

- Climatic fluctuations may affect the relative timing of food requirement and food availability (i.e. the “match-mismatch hypothesis”).
- Climate fluctuations may affect biological processes in linear and nonlinear ways (i.e. size-based predation, prey-switching).
- Between-individual interactions and within species density independent and dependent may vary nonlinearly with climatic factors.
- Climatic fluctuations can differentially affect different life stages.
- Habitat suitability (i.e. thermal stress, exceeding thermal tolerances and preferences, too little sunlight, too much current)

Which Process Controls Marine Ecosystems?



- Side-to-Side Ecosystem Control (within trophic level competition) *Moon and Stilling 2002. Oikos*

Mathematics is the Language of
Modeling

Mathematics and The Modeling Challenge

So far as the laws of mathematics refer to reality, they are not certain. And so far as they are certain, they do not refer to reality.

Albert Einstein

As complexity rises, precise mathematical statements lose meaning and meaningful mathematical statements lose precision.

Lotfi Zadeh

There are tradeoffs

There is No Silver Bullet!

- TD-BU is not binary - Likely TD and/or BU control is dominant during one time, for one life stage, in one habitat.
- “Correct” model can only be evaluated against the goal of modeling and the hypotheses under study.
- A suite of modeling approaches should maximize information revealed.

There is No Silver Bullet! (con't)

- Simplified models cannot replace complex models. Less complicated dynamics may, however, exhibit more clearly the dominating processes and feedback mechanisms at work.
- Robustness of results *can be* tested much better than in simple models compared to more complex models.
- Complex ecosystem models seem to be the only tool that allows the possibility of BU-TD behavior as an emergent behavior.

The End

Go forth and model.

May the Φ be with you!